### RECEIVED **CENTRAL FAX CENTER**

FROM McANDREWS, HELD, & MALLOY

JUL 1 2 (2006 7. 12' 06 16:04/ST. 16:03/NO. 4861050948 P 3

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		propriates Act. 2005				e if Known	a wallo OMB control numb
				Application Number	10/001,494		· · · · · · · · · · · · · · · · · · ·
FEE TRANSMITTAL			Filing Date	October 31, 2001			
for FY 2006			First Named Inventor	Roberto A. Capod	lect		
Applicant claims small entity status. See 37 CFR 1.2/			Examiner Name	Nina NMN Bhat			
			Art Unit	1764			
TOTAL AMOUNT		(\$) 180.00		Attorney Docket No.	12598U\$03		
METHOD OF PAYME							
Check	Credit Card [	Money Order	None	e 🔲 Other (please	identify):		
Deposit Ac	Count Deposit A	Account Number: 13	3 <u>-0017</u>	Deposit Account	Name: McAndrey	ws Held & Ma	llov
For the above	e-identified depo	isit account, the Din	ector is hen	eby authorized to (che	eck all that apply)		45.1
K21	e Fee(s) indicate				(s) indicated belo	w. except for	the fillna fee
Charg under	e any additional 37 CFR 1.16 an	fee(s) or underpayn d 1.17	ments of fee	es(s) Credit any o			
WARNING: Informati information and auti	on on this form m porization on PTO-	ay become public. C	redit card in	nformation should not t	e included on this	form. Provide	credit card
FEE CALCULATIO	N (All the fees t	pelow are due upo	n filing or :	may be subject to a	surcharge.)		
		XAMINATION FEE	***				
Application 1		Small Entity	SE <u>Fee(\$)</u>	ARCH FEES Small Entity	EXAMINATION SI	mall Entity	Fees Paid(\$)
Utility	300	* <u>Fee(\$)</u> 150	500	<u>Fee(\$)</u> 250	200	Fee(\$)	<u> </u>
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80 80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM	FEES		_	_	•	v	Small Entity
Fee Description Each claim over 20	fociudina Bales	ina)				F	ee(\$) Fee(\$)
Each independent of	daim over 3 (inclu	iding Reissues)					50 25 200 100
Multiple dependent	cłaims						360 180
Total Claims	-20 or HP	xtra Claims x	Fee(3)	Fee Pald (\$)		Multiple Dep Fee	endent Claims Fee Paid (\$)
HP = highest nu	mber of total dair	ns paid for, if greate	er than 20			<u>) <del></del> -</u>	ree Palu (3)
Indep. Claims	_		Fee(\$)	Fee Paid (\$)			
HP = highest nu	3 or HP	ent claims paid for,	if greater t	=			
3. APPLICATION 8 If the specification 1.52(e)), the ap	SIZE FEE and drawings ex	ceed 100 sheets of due is \$250 (\$125	baper (exc	luding electronically fi ntity) for each addition	led sequence or or all 50 sheets or fr	computer listir action thereof	ngs under 37 CFR 7. See 35 U.S.C.
Total Sheets	<u>Extra :</u> -100	<u>Sheets</u>   /50		each additional 50 and up to a whole number		f Fee(\$)	<u>Fee Paid(\$)</u> =
4. OTHER FEE(\$)			,	•			Fee Paid(\$)
Non-English Spe	cification, \$130 f	ee (no small entity o	discount)				
<b></b>	Other (e.g., late filling surcharge): Filing Information Disclosure Statement \$180.00						
SUBMITTED BY		,					-
Signature	Omera U	Williams		Registration No.	56,883	T-1	(242) 277 277
Name (print/type)	James H. William			(Attorney/Agent)	55,000	Telephone Date	(312) 775-8000 July 12, 2006

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MCANDREWS, HELD & MALLOY 34TH FLOOR 500 WEST MADISON STREET CHICAGO, ILLINOIS 60661

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JUL 1 2 2006

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TELEPHONE: (312) 775-8000 FACSIMILE: (312) 775-8100

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TO: USPTO FAX NO.: 571-273-8300

FROM: McAndrews, Held & Malloy USER ID: 8062

CLIENT: 01388 MATTER: 12598US03

Number of Pages This Transmission (Including Cover Page): Seventy-one (71)

I hereby certify that the attached <u>Information Disclosure Statement with Form SB/08a and copies of cited foreign patents</u> are being facsimile transmitted to the United States Patent and Trademark Office on <u>July 12, 2006.</u>

James H. Williams

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### FROM McANDREWS, HELD, & MALLOY

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JUL 1 2 2006

PTO/SB/21 (09-04)
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Under the Papurwork Reduction act of 1995, no pers	ona are required to	o respond	to a collection of information	n uniess it d	lisplays a valid OMB control number.
TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Application Number		10/001,494
			Filing Date		10/31/2001
			First Named Inventor		Roberto A. Capodieci
			Art Unit		1764
			Examiner Name		Nina NMN Bhat
Total Number of Pages in This Submis	ssion		Attorney Docket Number		12598US03
ENC	CLOSURE	S (cl	neck all that ap	ply)	· · · · · · · · · · · · · · · · · · ·
Fee Transmittal Form  Fee Attached  LicensIng-relation  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Drawing(s)  Petition  Petition  Provisional Ap  Power of Attor Change of Cor Address  Terminal Disc		i to Coninal Apport Attornof Coninal Dischall Dischall Dischall Dischall for Renated in the control of the cont	nvert to a polication ney, Revocation respondence aimer	to T Apport Appo	peal Communication to Board Appeals and Interferences peal Communication to TC peal Notice, Brief, Reply Brief) oprietary Information atus Letter turn-Receipt Postcard per Enclosure(s) (please atify below):
Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	Copi	ies of cited foreign re	eference:	5

# UNITED STATES PATENT AND TRADEMARK OFFICE (Attorney Docket No. 12598US03)

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CENTRAL FAX CENTER

JUL 1 2 2006

In the Application of:

Roberto A. Capodieci

Serial No.

10/001,494

Filed:

October 31, 2001

For:

ULTRASONICALLY

ACTIVATED CONTINUOUS SLITTER APPARATUS AND

**METHOD** 

Examiner:

Bhat, Nina Nmn

Group Art Unit:

1764

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (FAX No. (571) 273-8300) on July 12, 2006.

James II. Williams

James H. Williams

Signature

#### INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sirs:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

07/14/2006 AWONDAF1 00000037 130017 10001494

01 FC:1806

180.00 DA

### Attorney Docket No. 12598US03

U.S. Patent No.	<u>Date</u>	<u>Name</u>	RECEIVED
6,070,509	06-06-2000	Lonn et. al	CENTRAL FAX CENTER
			JUL 1 2 2006
FOREIGN PATENTS:			
Patent No.	<u>Date</u>	Name	
DE 35 29 686 A	02-26-1987	Fein C & E	· · · · ·
EP 0 733 409 A	09-25-1996	Rawson	
EP 0 813 937 A	12 <b>-</b> 29-1997	Giamello	
FR 2 620 071 A	03-10-1989	Scotto	•
GB 672 688 A	05-28-1952	Scott	
WO 96/09919	04-04-1996	Dale et al.	

The above-identified reference(s) are listed on the attached Form PTO/SB/08a and copies are also enclosed herewith. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The Commissioner is hereby authorized to charge the \$180.00 fee set forth in 37 C.F.R. 1.17 (p) to the Deposit Account of McAndrews, Held & Malloy, No. 13-0017. Additionally, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

### Attorney Docket No. 12598US03

Respectfully submitted,

July 12, 2006

Date

Registration No. 56,883

McAndrews, Held & Malloy, Ltd. 500 West Madison Street, 34th Floor Chicago, Illinois 60661

Telephone:

(312) 775-8000

Facsimile:

(312) 775-8100

Substitute

PTO/SB/08a (08-03)

	Application Alumbus	Complete if Known	
far farm 1449A/PTO	1	Complete if Versus	
Under the Paperwork Reduction Act of 1995, no persons a	required to respond to a collect	fon of information unless it contains a valid OM	3 control number
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		which are not reseminately part 117000° C	MID UBS1-003.

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary) Sheet 1 of 1

	Complete if Known			
Application Number	10/001,494			
Filing Date	10-31-2001			
First Named Inventor	Roberto A. Capodieci			
Art Unit	1764			
Examiner Name	Bhat, Nina NMN			
Attorney Docket Number	12598US03			

U.S. PATENT DOCUMENTS						
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Occurrent		
Initials No.1	Number - Kind Code <sup>2</sup> (If known)	MIM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	A-1	US- 6,070,509	U6-05-2000	Lonn et. al	- Addison phone	
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		113				

Examiner Cite No.1	Cita	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
		Country Code <sup>3</sup> - Numbor <sup>4</sup> - Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY			
	R-1	DE 35 29 666 A	02-26-1987	Pein C & E		
	B-2	EP 0 733 409 A	09-25-1996	Rawson		
	8-3	EP 0 813 937 A	12-29-1997	Giame!lo		
	B-4	FR 2 620 071 A	03-10-1989	Scotto	"	
	B-5	GB 672 688 A	05-28-1962	Scott		
	8-6	WO 98/09919	04-04-1998	Dale et al.		

	The state of the s
Examiner Signature	Date Considered

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional)." See Kinds Codes of USPTO Patent Documents at <a href="https://www.usofo.gov">www.usofo.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of this year of the reign of the Emperor must precade the serial number of the patent document." Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Oepartment of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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